

SEP 13 2004

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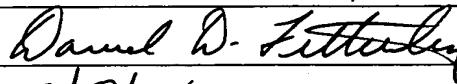
Patent and Trademark Office: U.S. Department of Commerce

0000 PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/019,086	
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Filing Date	April 9, 2002	
		First Named Inventor	Paul Zientek	
		Group Art Unit	1756	
		Examiner Name	Martin J. Angebrannndt	
Total Number of pages in this Submission		5+	Attorney Docket Number	322-00066

ENCLOSURES (check all that apply)

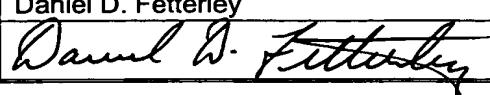
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication To Technology Group <input type="checkbox"/> Appeal Communication to Board Of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below) <input checked="" type="checkbox"/> RETURN RECEIPT POSTCARD <input checked="" type="checkbox"/> Request to Correct Inventorship <input checked="" type="checkbox"/> Statement <input checked="" type="checkbox"/> New Declaration <input checked="" type="checkbox"/> Consent of Assignee
Remarks		

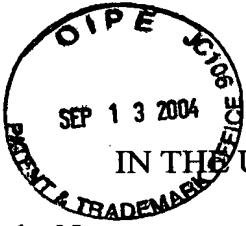
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Daniel D. Fetterley ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue, Suite 1100, Milwaukee, WI 53202
Signature	
Date	9/8/04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - Office of Petitions - Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the 8-11 day of September, 2004.

Typed or printed name	Daniel D. Fetterley		
Signature		Date	9/8/04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Appln. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
Examiner : Martin J. Angebrannndt

Docket No. : 322-00066

REQUEST TO CORRECT INVENTORSHIP

Milwaukee, Wisconsin 53202
September 8, 2004

Commissioner for Patents
Mail Stop - Office of Petitions - Fee
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

International Application PCT/AU00/00726 as well as the present U.S. national stage application of that PCT application, names Paul Zientek as sole inventor.

This is a request to add Gary F. Power as an inventor in this application.

It is understood that inasmuch as this request is being submitted after acceptance of this application into the national stage in the United States, that the request to correct inventorship proceeds under 37 C.F.R. §1.48. However, the attached documents are also believed to be those required by 37 C.F.R. §1.497(d).

This request is being accompanied by the following documents/items.

A. A Statement from the inventor being added that the error

in inventorship occurred without deceptive intention on
his part.

B. A Declaration signed by the co-inventors being named
in this application.

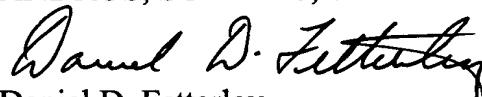
Appln. No. 10/019,086
Request dated September 8, 2004

- C. A written consent to the change in inventorship signed by the assignee of the originally named inventor.
- D. The processing fee set forth in 37 C.F.R. §1.17(i) in the amount of \$130.00. The Commissioner is hereby authorized to charge any additional fees in this application under 37 C.F.R. § 1.16 and 1.17 to Deposit Account No. 01.2000. An additional copy of this request is attached.

Favorable consideration of this request is respectfully requested.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP



Daniel D. Fetterley
(Reg. No. 20,323)

100 East Wisconsin Avenue, Suite 1100
Milwaukee, Wisconsin 53202
(414) 271-7590

CERTIFICATE OF MAILING

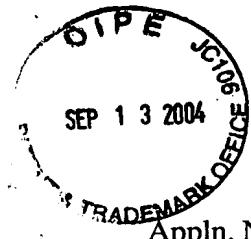
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Mail Stop - Office of Petitions - Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on the 8/8/04 day of September, 2004.

<u>Daniel D. Fetterley</u>	<u>20,323</u>
Name	Reg. No.
<u>Daniel D. Fetterley</u>	<u>9/8/04</u>
Signature	Date

PTO/SB/17 (8/96)		SEP 13 2004		COMPLETE IF KNOWN																									
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Total Amount of Payment (\$)		130.00		Attorney Docket Number	322-00066																								
METHOD OF PAYMENT (check one)																													
<p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number 01.2000 Account Deposit Name Andrus, Sceales, Starke & Sawall, LLP</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> The Commissioner is hereby authorized to charge the issue fee and any additional fees required under 37 C.F.R. 1.18 to the above Deposit Account.. A duplicate copy hereof is enclosed.</p>																													
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<p>1. FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>1001</td> <td>2001</td> <td>385 Utility filing fee</td> <td></td> </tr> <tr> <td>1002</td> <td>2002</td> <td>170 Design filing fee</td> <td></td> </tr> <tr> <td>1003</td> <td>2003</td> <td>265 Plant filing fee</td> <td></td> </tr> <tr> <td>1004</td> <td>2004</td> <td>385 Reissue filing fee</td> <td></td> </tr> <tr> <td>1005</td> <td>2005</td> <td>80 Provisional filing fee</td> <td></td> </tr> </tbody> </table>						Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	1001	2001	385 Utility filing fee		1002	2002	170 Design filing fee		1003	2003	265 Plant filing fee		1004	2004	385 Reissue filing fee		1005	2005	80 Provisional filing fee	
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SUBTOTAL (2) (\$)																													
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3) \$130.00																									

SUBMITTED BY

Name (Print/Type)	Daniel D. Fetterley	Registration No.	20,323	Telephone	(414) 271-7590
Signature	<i>Daniel D. Fetterley</i>			Date	9/8/04



(1)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
Examiner : Martin J. Angebranndt

Docket No. : 322-00066

STATEMENT

Commissioner for Patents
Mail Stop -
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

GARY F. POWER who is being added as an inventor in this application, hereby states that the error in the original designation of inventorship in this application occurred without deceptive intention on his part.

11/8/04

Date

Gary Fairless Power
46 Drummond Street
Greenvale, Victoria 3059, Australia

SEP 13 2004

(2)

Type a plus sign (+) inside this box [+].

Approved for use through 9/30/00

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PCT/SB/01
(8/96)

DECLARATION

OR

Declaration
 Submitted after
Initial Filing

COMPLETE IF KNOWN

Attorney Docket Number	322-00066
First Named Inventor	Paul Zientek
Application Number	10/019,086
Filing Date	April 9, 2002
Group Art Unit	1756
Examiner Name	Martin J. Angebranndt

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF PRODUCING A DIFFRACTIVE STRUCTURE IN SECURITY DOCUMENTS

(Title of the Invention)

the specification of which
 is attached hereto

OR

was filed on (MM/DD/YYYY) 06/27/2000 as United States Application Number or PCT

International Number PCT/AU00/00726 and was amended on (MM/DD/YYYY)
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Copy Attached?
PQ 1259	Australia	06/28/1999	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> YES <input type="checkbox"/> NO

Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
		<input checked="" type="checkbox"/>

Type a plus sign (+) inside this box [+]

Atty. Docket No. 322-00066

DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365© of any PCT International application, designated the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

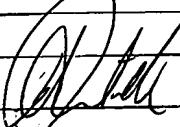
Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.
As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Name	Registration Number	Name	Registration Number
Daniel D. Fetterley	20,323	Joseph D. Kuborn	40,689
George H. Solveson	25,927	Jeffrey S. Sokol	35,686
Gary A. Essmann	29,376	Peter T. Holsen	54,180
Thomas M. Wozny	28,922	Aaron T. Olejniczak	54,853
Michael E. Taken	28,120	William L. Falk	27,709
Joseph J. Jochman	25,058		

Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.
Direct all correspondence to: Customer Number: 26753 OR Correspondence address below

NAME Daniel D. Fetterley
ANDRUS, SCEALES, STARKE & SAWALL, LLP
ADDRESS 100 East Wisconsin Avenue
Suite 1100
CITY Milwaukee STATE Wisconsin ZIP CODE 53202-4178
COUNTRY U.S.A. TELEPHONE (414) 271-7590 FAX (414) 271-5770

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: A petition has been filed for this unsigned inventor
Given Name (first and middle if any) Family Name or Surname
Paul Zientek
Inventor's Signature 

RESIDENCE City Craigieburn, Victoria State Country Australia Citizenship Australian
Date * 13 August, 2004

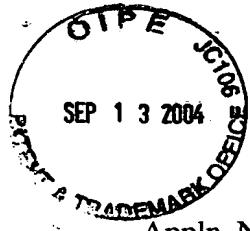
POST OFFICE ADDRESS c/o Potter Street
City Craigieburn, Victoria State Zip 3064 Country Australia

Additional inventors are being named on supplemental sheet(s) attached hereto.

Please type a plus sign (+) inside this box [+]

Attorney Docket No. 322-00066
ADDITIONAL INVENTOR(S)
Supplemental Sheet

DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet					
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])		Family Name or Surname					
Gary Fairless		Power					
Inventor's Signature				Date	X 11 August, 2004		
RESIDENCE: City	Greenvale, Victoria	State		Country	Australia	Citizenship	United Kingdom
POST OFFICE ADDRESS		46 Drummond Street					
City	Greenvale, Victoria	State		Zip	3059	Country	Australia



(3)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No. : 10/019,086
Applicant : Paul Zientek
Filed : April 9, 2002
Title : Method of Producing a Diffractive Structure in Security Documents

TC/A.U. : 1756
Examiner : Martin J. Angebranndt

Docket No. : 322-00066

CONSENT OF ASSIGNEE

Commissioner for Patents
Mail Stop -
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

SECURENCY PTY. LTD. is the assignor named in an assignment by Paul Zientek, named as an inventor in this application. This assignment was recorded in the USPTO on June 6, 2002 at Reel 12976, Frames 320 et seq.

SECURENCY PTY. LTD. hereby consents to the addition of Gary F. Power as an inventor in the above identified application.

SECURENCY PTY. LTD.

19 August, 2004
Date

D.J. Ellery
Name: D.J. ELLERY
Title: COMPANY SECRETARY